

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Satoru Wakao
Serial No. : 10/815,504
For : IMAGE VERIFICATION APPARATUS AND IMAGE VERIFICATION METHOD
Filed : March 31, 2004
Examiner : Seyed H. Azarian
Art Unit : 2624

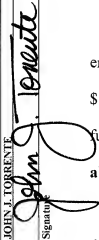
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

REQUEST FOR REFUND OF OVERPAYMENT OF ISSUE FEE

On October 16, 2009, applicant filed a Part B-Fee(s) Transmittal (PTOL-85) (copy enclosed) in the above -identified application. The Transmittal indicated an ISSUE FEE DUE of \$1510 and also indicated a PREV. PAID ISSUE FEE of \$1440. Item 4b of the Transmittal further indicated "Payment of fees (**Please first reapply any previously paid issue fee shown above**)".

Since the previously paid fee of \$1440 was thus requested to be first reapplied to the fee due of \$1510, the amount to be paid with the Transmittal should have been seventy dollars (\$70.00). However, applicant inadvertently also then paid, as indicated on the Transmittal "Payment in the amount of \$1819.00 is hereby made by Electronic Funds Transfer (EFT)", an additional fee amount of \$1819.00. As can be appreciated, since there was a \$70.00 fee

JOHN L. TORRENTE

Signature

December 16, 2009
Date of Signature

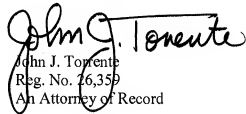
owing due to the reapplying of the previously paid fee, the amount of \$1819.00 paid by Electronic Funds Transfer was an overpayment of the issue fee and it is respectfully requested that the overpayment be refunded to applicant.

Accordingly, applicant respectfully request that the overpayment of \$1,740.00 be credited to applicant's undersigned attorney's Deposit Account No. 03-3415.

Dated: December 16, 2009

Respectfully submitted,

COWAN, LIEBOWITZ & LATMAN
1133 Avenue of the Americas
New York, New York 10036
Tel. (212) 790-9200


John J. Torrente
Reg. No. 26,359
An Attorney of Record

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 or **Ex** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless indicated below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for mailing fee-related communications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being transmitted via the Patent Electronic Filing System (EFS) to the United States Patent and Trademark Office on the date indicated below:

John J. Torrente (Depositor's name)
 John J. Torrente (Signature)
 October 16, 2009 (Date)

26272 7590 09/03/2009
 COWAN LIEBOWITZ & LATMAN P.C.
 JOHN J. TORRENTE
 1133 AVE OF THE AMERICAS
 NEW YORK, NY 10036
 10/16/2009 INTER-SW 00008336 10015504

01 FC:1501 1510.00 DP
 02 FC:1504 300.00 DP
 03 FC:8001 2.00 DP

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | A TORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|----------------------|------------------|
| 10/815,504 | 03/03/2004 | Satoru Wakao | B588-717 (25815,734) | 8217 |

TITLE OF INVENTION: IMAGE VERIFICATION APPARATUS AND IMAGE VERIFICATION METHOD

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$0 | \$1440 | \$1510 | 12/03/2009 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|------------------|----------|----------------|
| AZARIAN, SEYED H | 2624 | 382-100000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47, Rev. 03/02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Cowan, Liebowitz & Latman, P.C.
 2.
 3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Canon Kabushiki Kaisha

Recorded: August 16, 2004

Reel: 015679

Frame: 0254

(B) RESIDENCE (CITY and STATE OR COUNTRY)

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fees are submitted:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 3

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☒ Payment in the amount of \$1,819.00 is hereby made by Electronic Funds Transfer (EFT).
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 03-3415 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

John J. Torrente

Date October 16, 2009

Typed or printed name

John J. Torrente

Registration No. 28,359

This collection of information is required by 37 CFR 1.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 42 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Alexandria, Virginia 22313-1450
 or **Fax** **(571)-273-2885**

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Certificate of Mailing or Transmittal

I hereby certify that this Fee(s) Transmittal is being transmitted with the United States Postal Service with sufficient postage for first class mail in an envelope, addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

| |
|--------------------|
| (Depositor's name) |
| (Signature) |
| (Date) |

27123 7590 10/01/2007
MORGAN & FINNEGAN, L.L.P.
3 WORLD FINANCIAL CENTER
NEW YORK, NY 10281-2101

10/17/2007 INTEFSW 00005398 10815504

01 FC:1501 1440.00 DA
 02 FC:1504 300.00 DA
 03 FC:8001 9.00 DA

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/15/2004

03/31/2004

Satoru Wakao

1232-5362

8217

TITLE OF INVENTION: IMAGE VERIFICATION APPARATUS AND IMAGE VERIFICATION METHOD

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1440 | \$300 | \$0 | \$1740 | 01/02/2008 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

AZARIAN, SEYED H

2624

382-100000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address from PTO/SU/12) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SU/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent from page, list **Morgan & Finnegan LLP**

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE-NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Canon Kabushiki Kaisha

Tokyo Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
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4b. Payment of Fee(s): (Please first recopy any previously paid issue fee shows above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 33-4800 #1232-5362 (enclose an extra copy of this form).

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- ☐ A. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ B. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Steven F. Meyer

Date October 17, 2007

Typed or printed name Steven F. Meyer

Registration No. 35,613

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